

05-29-01

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05/25/01

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new non-provisional applications under 37CFR§1.53(b))

Attorney Docket HME/8134.003

First Inventor or Applicant: (L. L. N.G.) A-101-NW-1

## **Title** Expression and Purification of Galactose Oxidase

Express Mail Label No. EL744772299US

## APPLICATION ELEMENTS

## UTILITY PATENT APPLICATION ELEMENTS

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1. <input checked="" type="checkbox"/> *Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)	5. <input type="checkbox"/> Microfiche Computer Program (Appendix)		
2. <input checked="" type="checkbox"/> Specification	Total pages	15	6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
<p>(preferred arrangement set forth below)</p> <ul style="list-style-type: none"> <li>- Descriptive Title of the Invention</li> <li>- Cross References to Related Applications</li> <li>- Statement Regarding Federally Sponsored Research</li> <li>- Reference to Microfiche Appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul>			
3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113)	[Total Pages	2	7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))
4. Oath or Declaration	[Total Pages	2	8. <input type="checkbox"/> 37 CFR §3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney when there is an assignee
<p>a. <input type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy from a prior application (37 CFR §1.63(d)) (for continuation/divisional with Box 16 completed)</p> <p>I. <input type="checkbox"/> <u>Deletion of Inventor(s)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§1.53(d)(2) and 1.33(b)</p>			
<p>* Note for Items 1 &amp; 13: In order to be entitled to pay small entity fees, a small entity statement is required (37 CFR §1.27), except if one filed in a prior application is relied upon (37 CFR §1.28)</p>			
ACCOMPANYING APPLICATION PARTS			
<p>9. <input type="checkbox"/> English translation document (if applicable)</p> <p>10. <input type="checkbox"/> Information Disclosure Statement (IDS) /PTO 1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>11. <input type="checkbox"/> Preliminary Amendment</p> <p>12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (should be specifically itemized)</p> <p>13. <input checked="" type="checkbox"/> *Small Entity Statements <input type="checkbox"/> Statement filed in prior application. (PTO/sb/09-12) Status still proper and desired.</p> <p>14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>15. <input checked="" type="checkbox"/> Other: Credit Card Payment Form</p>			

\* Note for Items 1 & 13: In order to be entitled to pay small entity fees, a small entity statement is required (37 CFR §1.27), except if one filed in a prior application is relied upon (37 CFR §1.28).

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment.

Continuation       Divisional       Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group No./Art Unit \_\_\_\_\_  
For **CONTINUATION or DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**

<input type="checkbox"/> Customer Number or Bar Code Label		(Insert customer number or attach bar code label here)			or <input checked="" type="checkbox"/> Correspondence address below	
Name	Howard M. Eisenberg, Esq.					
Address	601 S.W. Second Avenue, Suite 1600					
City	Portland	State	Oregon		Zip Code	97204
Country	U.S.A.	Telephone	(503) 227-5631		FAX	(503) 228-4373
Name (print type)	Howard M. Eisenberg			Registration No. (Attorney/Agent)	36,789	
Signature				Date	May 25, 2001	

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

\$435

Complete If Known	
Application Number	
Filing Date	May 25, 2001
First Named Inventor	James W. Whittaker
Examiner Name	
Group/ Art Unit	
Attorney Docket No.	HME/8134.003

## METHOD OF PAYMENT (check one)

The Commissioner is hereby authorized to charge the indicated fees and credit any over payments to:

Deposit Account Number 50-1773

Deposit Account Name Howard Eisenberg, Esq.

Charge any additional fee required under 37 CFR 1.16 & 1.17

Payment Enclosed

Check  Credit Card  Money Order  Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	355
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1)				\$355	

### 2. EXTRA CLAIM FEES

		Extra Claims	Fee from below	Fee Paid
Total Claims	15	-20**	= 0	x 9 = 0
Indep. Claims	5	- 3**	= 2	x 40 = 80
Multiple Dependent				= 0

\*or number of previously paid, if greater. For reissues, see below.

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	*Reissue claims in excess of 20 and over original patent

Other (specify)

SUBTOTAL (2) \$435

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$0

## SUBMITTED BY

Complete (if applicable)

Name (print type)	Howard M. Eisenberg	Registration No.	36,789	Telephone	(503) 227-5631
Signature				Date	May 25, 2001

**CERTIFICATE OF MAILING**

BY "EXPRESS MAIL"

Express Mail No.: EL744772299US

Date of Deposit : May 25, 2001

I hereby certify that the utility patent application attached hereto entitled  
"Expression and Purification of Galactose Oxidase," James W. Whittaker and Mei M.  
Whittaker, inventors, together with Fee Transmittal form (duplicate), Utility Patent Application  
Transmittal form (duplicate), Declaration and Power of Attorney (unexecuted), acknowledgment  
postcard, and the required fees, is being deposited with the United States Postal Service "Express  
Mail to Addressee" on the date indicated above and is addressed to: Box Patent Application,  
Assistant Commissioner for Patents, Washington, D.C. 20231.

Dwight Bergquist-Moody